Integrated Features by Administering the Support Vector Machine of Translational Initiations Sites in Alternative Polymorphic Context

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Abstract: Many algorithms and methods have been proposed for classification problems in bioinformatics. In this study, the discriminative approach in particular support vector machines (SVM) is employed to recognize the studied TIS patterns. The applied discriminative approach is used to learn about some discriminant functions of samples that have been labelled as positive or negative. After learning, the discriminant functions are employed to decide whether a new sample is true or false. In this study, support vector machines (SVM) is employed to recognize the patterns for studied translational initiation sites in alternative weak context. The method has been optimized with the best parameters selected; c = 100, $E = 10^{-6}$ and $e^x = 2$ for non linear kernel function. Results show that with top 5 features and non linear kernel, the best prediction accuracy achieved is 95.8%. J48 algorithm is applied to compare with SVM with top 15 features and the results show a good prediction accuracy of 95.8%. This indicates that the top 5 features selected by the IGR method and that are performed by SVM are sufficient to use in the prediction of TIS in weak contexts.

Keywords: Kernel function, Algorithms, Non linear kernel, IGR method.

Introduction

Support Vector Machine (SVM) and kernel-based methods have been studied extensively to several of pattern classification problems. SVM are a set of supervised learning methods that analyse data and recognize patterns. The original SVM algorithm was invented by Vladimir Vapnik and his colleagues [2]. The theory behind SVMs is based on a transformation of data from the input space to a feature space [3]. Each instance in the training set contains one target value (i.e. the class labels) and several attributes (i.e. the features or observed variables). The goal of SVM is to produce a model (based on the training data) which predicts the target values of the test data given only the test data attributes.

The feature space usually has a larger number of dimensions compared the original input space and should be able to linearly separate the data. Applying SVM to classification problems consists of two phases; training and prediction. SVM takes as input data from a data

set in fixed-length vector during the training process. Each example must have an associated binary label. The two different classes of data are termed positive and negative and the labels of the training examples are usually assigned a value of 1 or -1, respectively. If each vector contains *m* values, then the data will resides in an *m*-dimensional space called the input space. Once the transformation has been selected based on prior knowledge, a linear classification algorithm is used to find the hyperplane in the feature space that is going to classify the data [1, 5].

In the time of this research started, there is no study carried out on the applied SVM to TIS in weak or suboptimal context.

Materials and methods

The dataset

Two data sets are collected for this study. The first list of data set is provided by Dr. R. Sankararamakrishnan from Laboratory of Computational Biology, Indian Institute of Technology, India. It consists of 526 human mRNA sequences of Ref Seq which is originally extracted from Gen Bank NCBI database (Release 14).

The second data set is formed on EST data by self-extracting a number of well-characterized and annotated sequences from NCBI Gene bank database. The resulting set consists of 400 non-redundant EST data from human sequences. 200 sequences are extracted for negative samples while another 200 sequences for positive samples.

Feature generation

In this study, the amino acid patterns are applied and there will be 2×21^k possible combination of κ -gram patterns. The frequency of κ -gram patterns is used as the value of this feature. In the framework of the new feature space, the initial nucleotides sequences need to be transformed to be able to interact with machine learning. The mRNA sequence containing ATG codon, a window is set for each ATG with the ATG in the center and 99 bases up-stream and 99 bases down-stream (excluding the ATG itself) aside. Amino acid sequences are converted into frequency sequence data under the description of the new features and are followed by the integration of a built classification model.

Each base is represented by a symbolic nucleotide; A, T, G, and C. This gives a total number of 201 positions in a weak context feature. The weak context of ATG is identified when pyrimidine base (T/C) is observed at positions -3 and A/T/C is observed at position +4 in translation regions. Four groups of features that are experimented are positional, Boolean, amino acid structure and properties.

Feature selection and integration

Information gain ratio (IGR) is employed to perform the feature selection tasks. The information gain ratio that is based on feature ranking measures the attributes with many values than those with few values. IGR solves the problems by introducing an extra term on how the features split the data. The Eqs. (1) and (2) demonstrate the features splitting process:

$$GR(S,A) = \frac{IG(S,A)}{SI(S,A)},$$
(1)

$$SI(S, A) = -\sum_{i=1}^{d} \frac{|S_i|}{|S|} \log_2 \frac{|S_i|}{|S|},$$
(2)

where S_i are *d* subsets of examples resulting from partitioning *S* by the *d*-valued feature *A*. Since the *SI* term can be zero in some special cases, feature *A* is defined as

$$IGR(S, A) = IG(S, A)$$
 if $SI(S, A) = 0$.

For the most part, this improvement over IG proves significant in the present evaluation [10].

To achieve the goal to predict true functional sites, the next step is to integrate the selected features by a classification algorithm. The classification algorithm used in this study is support vector machine with linear, non-linear and radial basis kernel function. The purpose is to investigate which kernel best describes the prediction case study.

Data sequence transformation

In the sequence transformation, the frequency-grams for 20 amino acids are constructed in feature space generation. When $\kappa = 1$, namely the length of amino acid pattern is 1 bases long, there are $2\times21*1 = 42$. 1 gram pattern is generated for feature space. Therefore, there are 42 generated new features for amino acids patterns. The features from bio-knowledge are derived apart from the κ -gram patterns. These are based on the model of famous Kozak's consensus matrix. The consensus motif from this matrix is GCC[ct]CCATG[atc] generated based on Kozak's model, where (a) whether an A, T or C residue follow a true TIS, which indicates that a A/T/C appears in positions +4 of the original sequence windows; (b) a pyrimidines (C or T) tend to be found in 3 nucleotides upstream of a true TIS, which indicates that a C or T appears in positions -3 of the original sequence window. In stop codon feature, the frequencies of stop codon (3-grams: TAA, TAG, TGA) within 50 bases downstream and within 100 bases from the ATG is generated for each of the ATG window called stop50 and stop100 respectively.

Finally, 29 bio-knowledge patterns based on nucleotide positions, upstream ATG, stop codon, in frame ATG and chemical properties are constructed for whole datasets which are based on 7 Boolean features from nucleotide positions, stop codon, 2 from in frame ATG, upstream ATG and another 18 from chemical properties of amino acids. Chemical properties are taken into consideration to observe the chain of protein location within the amino acid sequence and to prove its function in an effective translation in a weak context. Each sample is a vector of 42 integers and 29 bio-knowledge, which sums up to a total of 71 numbers of features.

Significant features

Applying the information gain ratio feature evaluation method by 3-fold cross validation, the 15 features are selected according to their ranking. Table 1 shows the ranking positions of the 15 top ranked features based on IGR value.

Fig. 1 shows the charts for top ranked features selected by the *IGR* method.

| Feature | Ranking |
|------------------|---------|
| down_stop | 1 |
| up_3_[T] | 2 |
| up3_[C] | 3 |
| down_+4_[C] | 4 |
| down_hydrophilic | 5 |
| up_ambivalent | 6 |
| up_hydrophilic | 7 |
| down_acidic | 8 |
| up_internal | 9 |
| down_nonpolar | 10 |
| down_ambivalent | 11 |
| down_polar | 12 |
| down_basic | 13 |
| up_external | 14 |
| down_external | 15 |

Table 1. Features selected by IGR method

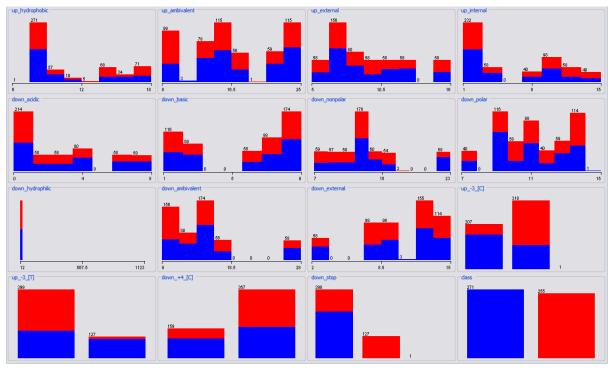


Fig. 1 Top charts for selected features

Performances

All machine learning calculations is performed on a personal computer running Windows XP with Pentium (R) Dual-Core CPU T4200 @ 2.00 GHz and 2 GB RAM. The training is simulated using WEKA Data Mining System. WEKA is an acronym for "Waikato

Environment for Knowledge Analysis" and written in Java as a multi-platform operating system programming language [9]. For target output comparison, confusion matrices and mean square error (MSE) and are used as the error function and performance value for the neural networks. They are defined as follows in Eqs. (3)-(5):

$$Sensitivity = \frac{TP}{100[TP + FN]},$$
(3)

$$Specificity = \frac{TN}{100[TN + FP]},\tag{4}$$

$$Accuracy = TP + \frac{TN}{100[TP + TN + FP + FN]},$$
(5)

where TP is the number of true positive, TN is the number of true negative, FP is the number of false positive, and FN is the number of false negative.

True positive means pattern correctly assigned to ATG. True negative means pattern correctly assigned to non-ATG. False positive means pattern incorrectly assigned to ATG. False negative means pattern incorrectly assigned to non-ATG.

Results and discussion

To prove the effectiveness of this method as well as to recognize TIS with a very high accuracy, several steps are carried out on the selected dataset:

- a. Conduct the computational cross validations in dataset I.
- b. Select the best features and build the classification models in mRNA sequences of dataset I.
- c. Build the classification models in dataset I via comparative accuracy.
- d. Select and further compare the best kernel classifier with decision tree learning (J48).
- e. Test the built in model in experiment b to dataset II to obtain a testing accuracy.

The 10-fold cross validation is applied to dataset I to get their prediction accuracy. Table 2 shows the results on the dataset I using the top features selected by information gain ratio.

The effectiveness of support vector machines on case studies is dependent on the selection of the kernel, the kernel's parameter namely exponent value γ and soft margin parameter *C*. Best combinations of *C* and γ is often selected by a grid-search with exponentially growing sequences of *C* and γ as described in the equation below [4].

$$C \in \left\{2^{-5}, 2^{-3}, \dots, 2^{13 \cdot 2^{15}}\right\}; \ \gamma \in \left\{2^{-15}, 2^{-13}, \dots, 2^{1 \cdot 2^{3}}\right\}$$
(6)

Conducted experiments bring to evidence that the best parameter option of kernel for soft margin, C varies from 100.0, 10.00 and, E is 10^{-6} . C is the complexity parameter which SVM used to build the hyperplane between any two target classes. C controls how soft the class margins are in practice and to determine the instances that are used as 'support vectors' to

draw the linear separation boundary in the transformed Euclidean feature space. Apart from that, the complexity of *C* will take effect on the computation time. For non linear kernel, the parameter for exponent value is 2.0. The parameter γ for the radial basis kernel is 0.01. Each combination of parameter choices is checked using cross validation (CV). The parameters with the best cross validation accuracy are picked as the best prediction model.

| Top features | Algorithm | Parameter | SENS (%) | SPEC (%) | ACC (%) |
|-----------------|------------------|---------------------------------------|-------------|-------------|------------|
| Top 5 | SVM (linear) | $c = 100, E = 10^{-6}, e^x = 1$ | 91.5 | 87.2 | 89.3 |
| | SVM (linear) | $c = 10, E = 10^{-6}, e^x = 1$ | 94.0 | 93.0 | 93.5 |
| | SVM (non linear) | $c = 100, E = 10^{-6}, e^x = 2$ | 93.1 | 99.2 | 95.8 |
| | SVM (non linear) | $c = 10, E = 10^{-6}, e^x = 2$ | 93.9 | 95.6 | 94.7 |
| | SVM (radial) | $c = 100, E = 10^{-6}, \gamma = 0.01$ | 94.9 | 89.6 | 92.2 |
| Top 10 | SVM (linear) | $c = 100, E = 10^{-6}, e^x = 1$ | 93.8 | 89.2 | 91.4 |
| | SVM (linear) | $c = 10, E = 10^{-6}, e^x = 1$ | 93.4 | 89.1 | 91.3 |
| | SVM (non linear) | $c = 100, E = 10^{-12}, e^x = 2$ | 92.6 | 97.1 | 94.7 |
| | SVM (non linear) | $c = 10, E = 10^{-6}, e^x = 2$ | 94.1 | 93.7 | 93.9 |
| | SVM (radial) | $c = 100, E = 10^{-6}, \gamma = 0.01$ | 94.1 | 88.6 | 91.3 |
| Top 15 | SVM (linear) | $c = 100, E = 10^{-6}, e^x = 1$ | 91.0 | 85.9 | 88.4 |
| | SVM (linear) | $c = 10, E = 10^{-6}, e^x = 1$ | 92.9 | 87.1 | 89.9 |
| | SVM (non linear) | $c = 100, E = 10^{-12}, e^x = 2$ | 92.9 | 95.5 | 94.1 |
| | SVM (non linear) | $c = 10, E = 10^{-6}, e^x = 2$ | 93.5 | 96.0 | 94.7 |
| | SVM (radial) | $c = 100, E = 10^{-6}, \gamma = 0.01$ | 91.9 | 87.6 | 89.7 |

Table 2. Results on the dataset I using the top features selected by information gain ratio

The best prediction accuracy is achieved by using top 5 features selected with an information gain ratio of SVM non linear kernel at an accuracy of 95.8%, sensitivity of 93.1% and specificity of 99.2%. Note that there is no finding in previously reported results that had used the applied dataset with SVM classification method.

Comparison with decision tree learning (J48)

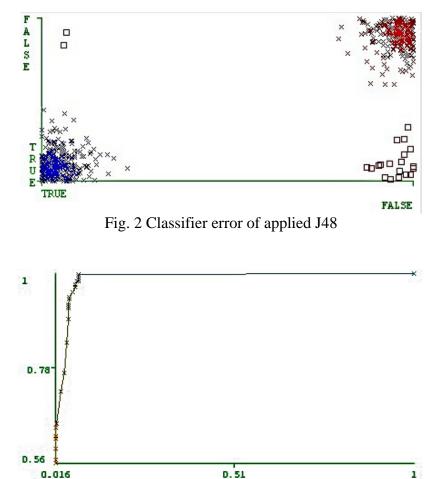
For comparison purposes, the classification method of decision tree (J48) is compared to the SVM with kernel function. Table 3 shows the prediction output.

Table 3. The results by 10 fold cross validations by decision tree learningon the dataset I with top 15 ranked features.

| Data set | Algorith m | No correctly classified | No incorrectly classified | MSE | Accuracy (%) |
|-------------|---------------|-------------------------|---------------------------|--------|-----------------|
| Ι | J48 | 504 | 22 | 0.1872 | 95.8 |

Fig. 2 shows the classifier error of the model built by J48. Blue colour represents the correctly predicted cases, while red colour represents the wrongly predicted cases. The axis-x indicates the class and axis-y indicates the predicted class. Figure 3 shows the ROC curve of decision tree learning in dataset I, human mRNA sequences. The ROC curve shows the changes of

prediction accuracy on true ATG and false ATG with regards to their sensitivity (axis x) and specificity (axis y).



The area under ROC curve = 0.9767

Fig. 3 ROC curve of J48 on prediction of TIS in weak consensus context

Decision trees can yield output comprehensive rules to disclose the essence of learning and predictions of TIS in weak context. Some interesting and biologically sensible rules with large coverage have been discovered:

Rule 1 : If $down_stop =$ yes, then prediction is <u>false ATG</u>. Rule 2 : If $down_stop =$ no, $up_-3_[T] =$ no, $up_-3_[C] =$ no, then prediction is <u>false ATG</u>. Rule 3 : If $down_stop =$ no, $up_-3_[T] =$ yes, then prediction is <u>true ATG</u>. Rule 4 : If $down_stop =$ no, $up_-3_[T] =$ no, $up_-3_[C] =$ yes, then prediction is <u>true ATG</u>.

Fig. 4 demonstrates an output tree for decision learning. The rules from decision tree learning are listed below.

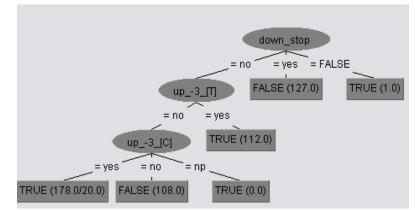


Fig. 4 An output tree for decision learning

Testing accuracy on EST sequences

In order to further evaluate the feasibility and robustness of our method, we tested the model built in experiment b to own prepared data (dataset II), which contains 400 EST sequences of *Homo sapiens*. These 200 sequences containing true TIS are used as a positive data in the experiment. Another 200 sequences containing false TIS are used as a negative data.

Using the linear kernel for testing, SVM provides an accuracy of 96.0%, which classified 384 corrected out of 400 instances in dataset II. Non-linear kernel with SVM predicted 351 correct out of 400 instances which brings a 88.0% of accuracy. While, when using radial kernel for testing, SVM gives 361 corrected prediction out of a total of 400 instances in dataset II.

Conclusion

Among three, non linear kernel outperforms the others in prediction accuracy and consistency. The best performance is shown by the non linear kernel with five top features selected by the *IGR* method. This indicates that a powerful SVM method can effectively find TIS in weak contexts if careful steps are taken to incorporate the significant biological knowledge into the selected kernel functions. In method comparison with SVM, J48 provides an easy, simple and not very sensitive to feature selection but it requires more involvement of features to recognise the signal.

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