

Book Review

What is Applied Differential Topology?

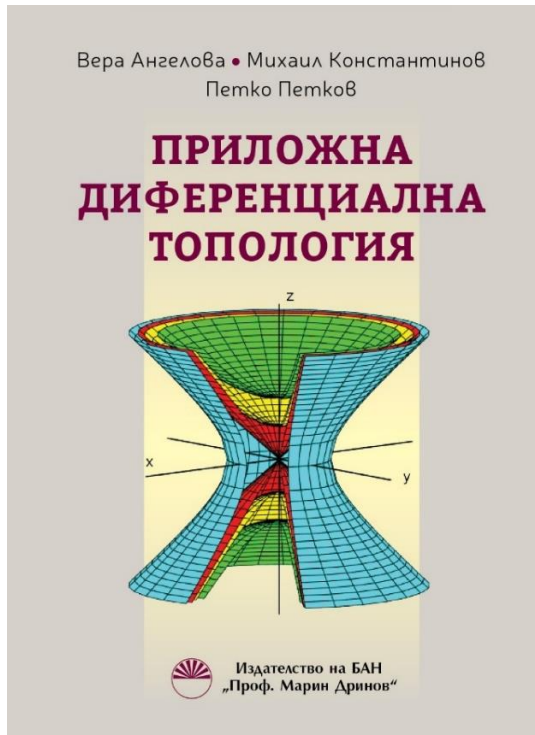
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When working with matrices, many interesting questions arise that cannot be answered using only the methods of classical matrix analysis:

- Why are matrices with random entries almost always of full rank?
- Why does adding a perturbation typically increase the rank of a matrix rather than decrease it?
- Why do square matrices with random entries almost always have distinct eigenvalues?
- Why does a small random perturbation of a matrix with multiple eigenvalues usually produce a matrix with simple eigenvalues?
- Why is it unlikely that a random perturbation of a matrix with simple eigenvalues will create multiple eigenvalues?
- Why do multiple eigenvalues generically correspond to a single Jordan block?

All of these phenomena are manifestations of a common principle that lies at the intersection of differential topology, algebraic geometry, and matrix analysis:

Degenerate matrix properties are exceptional: they occur only on subsets of lower dimension (and therefore of measure zero) within the ambient matrix space.

In spaces of matrices, generic matrices exhibit the simplest possible behavior, whereas special structures such as rank deficiency, repeated eigenvalues, or complicated Jordan forms require additional algebraic constraints. Understanding this principle provides a geometric explanation for many familiar phenomena in matrix theory and numerical linear algebra. Such explanation is given by the methods of differential topology.

The book under review demonstrates how methods of differential topology can be applied to the solution of important mathematical problems arising in a variety of scientific disciplines. Particular attention is devoted to matrix analysis, a field that plays a central role throughout the natural sciences, engineering, and applied mathematics.

Modern mathematical models rarely consist of a single system corresponding to fixed parameter values. Instead, they typically form families of models that vary continuously as parameters change within prescribed ranges. Such parameter-dependent models arise naturally in physics, chemistry, biology, control theory, statistics, aerodynamics, hydrodynamics, the social sciences, and many other areas.

The analysis of such models using traditional methods is often difficult because the properties of a family of models cannot generally be determined by studying only isolated instances corresponding to fixed parameter values. What is needed instead is a framework in which both the models and their properties depend smoothly on the parameters.

For this reason, researchers in many scientific fields have shown increasing interest in the methods of differential topology, whose primary objects of study are smooth manifolds and smooth mappings. Representing mathematical models as smooth manifolds, whose dimensions are determined by the number of independent parameters, makes it possible to describe essential properties of model families as smooth functions of these parameters. This approach greatly facilitates both qualitative and quantitative analysis.

The questions addressed by differential topology are global in nature because they concern the structure of entire manifolds rather than merely local neighborhoods. Differential topology combines the qualitative viewpoint of topology, which studies geometric structures in spaces of arbitrary dimension, with the methods of classical analysis, which permit quantitative investigation under small perturbations.

In this connection, it is appropriate to recall the words of the distinguished American mathematician Marston Morse, written in 1934 in his classic work *The Calculus of Variations in the Large*:

“Any problem which is nonlinear in character, involves more than one variable, or whose structure is originally defined in the large, will probably require considerations from topology and group theory for its solution. In the treatment of such problems, classical analysis will often appear as an instrument for handling small variations, integrated over the whole problem by means of topology or group theory.”

The book is organized as follows:

To facilitate the reader's introduction to the theory of manifolds and smooth mappings, Chapter 1 presents a concise review of linear spaces and linear transformations. Chapters 2-5 provide an accessible introduction to differential topology intended primarily for non-mathematicians [1-5, 8-10]. These chapters contain numerous examples and illustrations that help readers acquire an intuitive understanding of the subject.

Chapter 6 is devoted to Lie groups, mathematical structures that are simultaneously groups and smooth manifolds [3, 6]. Chapters 7 and 8 address two fundamental problems of matrix analysis: determining the rank of a rectangular matrix and analyzing the Jordan canonical form of a square matrix. These problems are inherently ill-posed, in the sense that arbitrarily small perturbations may produce substantial changes in the structure of the solution.

Chapter 9 applies differential-topological methods to parameter-dependent matrix families [1, 7]. In particular, it discusses the construction of versal deformations of Jordan forms and the development of bifurcation diagrams for matrices depending on a finite number of parameters.

The final chapter demonstrates how differential topology can be used to regularize matrix problems by constructing approximations that remain stable under small perturbations of the parameters.

Background material on set theory, metric spaces, and topological spaces is collected in the Appendix.

One of the most interesting aspects of the book is its interpretation of classical matrix problems through the modern language of singularity theory.

At first sight, concepts such as matrix rank, eigenvalues, Jordan canonical forms, and matrix perturbations appear to belong entirely to linear algebra. The book demonstrates, however, that these problems possess a rich geometric structure and can be understood as manifestations of singularities in spaces of matrices.

Consider, for example, the problem of determining the rank of a matrix. The set of all matrices of a fixed size forms a Euclidean space, while the subset consisting of matrices with rank at most (r) forms a determinantal variety defined by the vanishing of certain minors. These varieties are singular geometric objects whose dimensions decrease as the rank decreases. From this perspective, full-rank matrices are generic, whereas rank-deficient matrices occupy lower-dimensional subsets. This geometric interpretation immediately explains why random perturbations almost always increase rank rather than decrease it.

A similar phenomenon occurs in spectral theory. The set of matrices possessing multiple eigenvalues is described by the vanishing of the discriminant of the characteristic polynomial. This set forms a discriminant variety, which is again a lower-dimensional subset of matrix space. Consequently, matrices with simple spectra are generic, while matrices with repeated eigenvalues are exceptional. The familiar observation that a small perturbation usually splits a multiple eigenvalue into several distinct eigenvalues thus becomes a direct consequence of geometric considerations.

The situation becomes even more interesting when one considers Jordan canonical forms. Matrices with nontrivial Jordan blocks correspond to singular points in the orbit structure induced by similarity transformations. Different Jordan structures define strata of varying dimensions, and transitions between them can be interpreted as bifurcation phenomena. The book shows how differential–topological methods make it possible to analyze these transitions systematically and to understand the geometry underlying spectral degeneracies.

Particularly noteworthy is the discussion of versal deformations of Jordan forms. Versal deformations provide local models describing all nearby perturbations of a given matrix up to similarity. In the language of singularity theory, they play a role analogous to the versal unfoldings of singular functions studied by Arnold and Thom. Through these constructions, one obtains a complete description of how matrix singularities split under perturbation and how bifurcation diagrams arise naturally from the geometry of matrix spaces.

This viewpoint reveals a deep connection between matrix analysis and some of the central ideas of modern geometry. Determinantal varieties, discriminant varieties, orbit spaces, transversality, stratifications, and bifurcation theory all appear naturally within the study of matrices. Problems that are traditionally regarded as purely algebraic acquire a geometric interpretation, while differential topology provides powerful tools for understanding their stability and generic behavior.

From a broader perspective, the book illustrates a fundamental principle that has become increasingly important in modern mathematics: singular structures are exceptional, whereas regular structures are generic. Matrix singularities – rank deficiencies, repeated eigenvalues, and complicated Jordan structures – occur only when additional algebraic constraints are satisfied. Because these constraints define lower-dimensional subsets of matrix space, generic perturbations tend to destroy such singularities. This principle explains many familiar observations in numerical linear algebra and provides a unifying framework for understanding perturbation phenomena.

The treatment of these ideas is among the strongest features of the book. It enables readers to view classical matrix theory from a modern geometric perspective and provides an accessible introduction to the interplay among differential topology, singularity theory, algebraic geometry, and matrix analysis. By emphasizing the geometric structure underlying familiar matrix phenomena, the authors reveal connections that are rarely encountered in traditional presentations of linear algebra.

Because the book is intended for specialists from a variety of disciplines, some theoretical results are presented without proof. References to the relevant literature are provided at the end of each chapter, allowing interested readers to pursue the mathematical details independently. The emphasis throughout is placed on the interpretation of theoretical concepts and their application to concrete problems. The numerous examples serve not only to illustrate the theory but also as valuable exercises for readers wishing to deepen their understanding of the material.

The authors express the hope that the book will make differential topology more accessible to scientists and engineers with limited mathematical background and encourage the broader use of this powerful mathematical discipline in scientific and engineering research.

Overall, the book offers a distinctive and valuable introduction to applied differential topology and its applications to matrix analysis. Its principal achievement lies in demonstrating how

geometric ideas can illuminate problems that are often regarded as purely algebraic. By combining the methods of differential topology, singularity theory, and matrix analysis, the authors provide a coherent framework for understanding the structure, stability, and perturbation behavior of matrix families.

The book is particularly noteworthy for its geometric interpretation of matrix singularities. Rank-deficient matrices, multiple eigenvalues, and nontrivial Jordan structures are shown to arise as singular geometric configurations within matrix spaces, while generic matrices correspond to regular points exhibiting the simplest possible behavior. Through the concepts of transversality, stratification, versal deformation, and bifurcation, the authors demonstrate how differential-topological methods provide a natural language for describing these phenomena.

For this reason, the book should be of interest not only to engineers and applied scientists but also to mathematicians working in matrix analysis, numerical linear algebra, singularity theory, perturbation theory, and geometric methods in applied mathematics. It succeeds in building a bridge between abstract geometric ideas and concrete computational problems and offers readers a compelling illustration of how modern geometry can enrich the study of matrices.

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